

**EASTERN CAPE
GAMBLING AND BETTING BOARD**

BUSINESS ENTITY DISCLOSURE FORM
IN RESPECT OF

**APPLICATION FOR MANUFACTURER, SELLER,
DISTRIBUTOR, IMPORTER, MARKETER, MAINTAINER,
AND REPAIRER REGISTRATION**

SIGNATURE_____

BUSINESS ENTITY DISCLOSURE FORM

APPLICATION INSTRUCTIONS

1. This application form is to be completed by any person who has been identified as a qualifier for a gambling-related manufacturer, seller, distributor or importer, marketer, maintainer or repairer registration or is otherwise directed to file an application by the Eastern Cape Gambling and Betting Board.
2. Below is a list of categories of gambling equipment and gambling-related equipment for which a person who manufactures, sells, distributes, imports, markets, maintains or repairs them must have the appropriate registration.

GAMBLING MACHINES, DEVICES OR EQUIPMENT

- ?? Gambling machines
- ?? Roulette wheels
- ?? Chips, tokens and other value instruments
- ?? Computer management systems
- ?? Computerised gambling, monitoring, management and accounting systems
- ?? Roulette balls, Blackjack and Punto Banco shoes
- ?? Big Six wheels and related assembly
- ?? Bill validator
- ?? Coin/Note dispensing machines
- ?? Surveillance systems
- ?? Roulette table layouts
- ?? Blackjack table layouts
- ?? Craps table layouts
- ?? Baccarat table layouts
- ?? Punto Banco table layouts
- ?? Other casino game layouts

NOTE: The list is not necessarily a complete list of categories. If you are not sure whether to apply for a manufacturer or a distributor registration, please contact the Eastern Cape Gambling and Betting Board.

SIGNATURE _____

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BUSINESS ENTITY DISCLOSURE FORM

3. Read this entire form carefully before answering any of the questions. Any incomplete or inaccurate answer may result in no action being taken on the application, or denial of the application.
4. Except for the Personal History Disclosure Forms and Business History Disclosure Forms and annexures thereto which must accompany this application, each application shall consist of the original master copy of this form and 12 (twelve) numbered copies of the completed application form and annexures thereto. The original master copy of the Personal History Disclosure Forms and Business History Disclosure Forms shall be submitted with one 1 (one) numbered copy of each original.
5. For the purposes of this application, “enterprise” is defined to include any corporation, company, association, operation, firm partnership, trust or other form of business association, and any sole proprietor or natural person.
6. Answer every question fully and truthfully. Do not leave any blank spaces. If a question does not apply to you, indicate N/A (for “Not Applicable”) in response to that question. If there is nothing to disclose about a particular question, write “None”.
7. All entries on this form, except signatures, must be typed or block-printed in black ink. If your application is not legible, it will not be accepted.
8. Sign each page of this form in the space provided, once you have checked your answers and are sure they are complete and correct.
9. If you need additional space to answer any question(s), please use the blank page provided at the end of this form. Be sure to indicate the number(s) of the question(s) you are answering if you use this additional space.
10. Sign the Statement of Truth and all Release Authorisation Forms in the presence of a notary public and have both your signatures notarised.

IMPORTANT NOTICE

1. You must immediately notify the Eastern Cape Gambling and Betting Board of any change of address. All notices regarding this application will be sent to the address that you provide on this form.
2. Any person who applies to the Board for identification as a qualifier and who is identified as such is required to submit to searches without a warrant when present on a licensed gambling facility pursuant to section 76(1) of the Eastern Cape Gambling and Betting Act, 1997 (Act No. 5 of 1997) (“the Act”).
3. Information supplied to the Board, or otherwise obtained by it, is confidential and may not be revealed except, in the course of administering the Act, upon the lawful order of a court of competent jurisdiction. Nevertheless, an applicant or a licence holder waives any liability of the Eastern Cape Gambling and Betting Board, its instrumentalities and its agents, for any damages resulting from any disclosure or publication in any manner, other than a wilfully unlawful disclosure or publication, pursuant to section 76 of the Eastern Cape Gambling and Betting Act

SIGNATURE _____

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APPLICATION FOR REGISTRATION

I, _____ on behalf of the applicant hereby apply in terms of the Gambling and Betting Act, 1997 (Eastern Cape), for a manufacturer, assembler, maintainer, repairer, seller, distributor, importer, acquirer, marketer, rentor or lessor (DELETE IF NOT APPLICABLE) of gambling devices registration and confirm being aware of an understanding the provisions of the said Act and Regulations, insofar as they pertain to this application.

Full name of applicant _____

Physical business address _____

Postal address _____

Telephone number (Code) _____ (Number) _____

Facsimile number (Code) _____ (Number) _____

E-mail address _____

 For and on behalf of the applicant

 Date (Who warrants his authority)

 Name (Print) Capacity of signatory

SIGNATURE _____

APPLICATION FOR MANUFACTURER, DISTRIBUTOR OR SERVICER REGISTRATION

Please indicate with an "X" in the boxes provided for which licence is applied for.

Manufacturer/Assembler
 Maintainer/Repairer
 Acquirer/Marketer/Seller
 Distributor
 Importer
 Lessor/Rentor

List the categories of equipment you wish to manufacture or distribute below:

NAME AND REGISTRATION NUMBER OF ENTERPRISE

*Name as appears on or in the certificate of incorporation, charter, by-laws, partnership agreement or other official document. DO NOT ABBREVIATE

TRADE NAME(S)

Person to be contacted with reference to these forms:

NAME	
TITLE	TELEPHONE NO. (INCLUDE AREA CODE)

SIGNATURE _____

The principal business address of the enterprise:

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
MAILING ADDRESS (IF DIFFERENT)	CITY	PROVINCE/STATE	POSTAL CODE

The address from which the enterprise is or will be conducting any business as part of an agreement with a licence holder:

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		

ITEM 1. OTHER NAMES AND ADDRESSES OF THE ENTERPRISE

A. List all other names and registration numbers under which the enterprise has conducted business, and give approximate periods of time during which such names were in use.

NAME	REGISTRATION NUMBER	FROM	TO

B. State all other addresses currently occupied/held by the enterprise and all addresses from which the enterprise is currently conducting business.

NUMBER AND STREET	CITY	PROVINCE	POSTAL CODE

SIGNATURE _____

- C. State all addresses, other than those listed above, which the enterprise occupied/held or from which it was conducting business during the last ten (10) years, and give the approximate periods of time during which such addresses were occupied/held.

NUMBER AND STREET	CITY	PROVINCE	POSTAL CODE	FROM	TO

ITEM 2. DESCRIPTION OF ENTERPRISE

- A. Specify the business form of this enterprise (i.e. Limited Company, Close Corporation, partnership, trust, joint venture, sole proprietorship or otherwise).

- B. Submit a copy of the certificate of incorporation and all amendments, the charter, by-laws, partnership agreement, trust agreement or other basic documentation of the enterprise, if any. This document must be labelled ITEM 2-B.

ITEM 3. DESCRIPTION OF PRESENT BUSINESS

On an attachment page labelled ITEM 3, describe the business done and intended to be done by the enterprise and its parent, holding, subsidiary and intermediary companies and the general development of that business during the last five (5) years or the shorter period the enterprise or its parent, subsidiary and intermediary companies may have been engaged in business. This description must include information on matters such as the following:

- A. Competitive conditions in the industry or industries involved and the competitive position of the enterprise, if known.
- B. The principal goods and services provided by the enterprise and its parent, intermediary, and subsidiary companies, the principal markets for those products or services and the methods of distribution.
- C. The sources and availability of raw materials essential to the business of the enterprise.
- D. The importance to the business and the duration and effect of all material patents, trademarks, licences, franchises and concessions held.

SIGNATURE _____

- E. This description must contain a detailed account of the goods or services being provided, or to be provided, to the casino industry and whether the enterprise intends to provide non-gambling-related goods or services as well.

ITEM 4. DESCRIPTION OF FORMER BUSINESS

On an attachment page labelled ITEM 4, describe any former business not listed in response to ITEM 3, which the enterprise or any parent, intermediary or subsidiary company engaged in during the last ten-year period, and the reasons for the cessation of such business. Also indicate the approximate period of time during which each such business was conducted.

ITEM 5. STOCK DESCRIPTION (COMPANIES)

Describe the nature, type, terms, conditions, rights and privileges of all classes of voting, non-voting and other stock issued, or to be issued, by the company, including the number of shares of each class of stock authorised or to be authorised and the number of shares of each class of stock outstanding (i.e. not held by or on behalf of the issuer) as on this date.

ORDINARY SHARES	PAR VALUE	NUMBER
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

PREFERENCE SHARES	PAR VALUE	NUMBER
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

OTHER (INCLUDING OPTIONS)		
_____	_____	_____
_____	_____	_____
_____	_____	_____

SIGNATURE_____

VOTING RIGHTS

If the rights of holders of any class of stock may be modified other than by a vote, indicate this and explain briefly.

ITEM 6. NON-VOTING SHAREHOLDERS

Furnish the information called for in the table below, in respect of each person or entity holding or having a beneficial interest in any non-voting stock issued by the company.

NAME AND ADDRESS	DATE OF BIRTH	CLASS OF NON-VOTING STOCK HELD	NUMBER OF SHARES HELD	PERCENTAGE OF OUTSTANDING VOTING STOCK

* This information must be provided as on a date no more than sixty (60) days prior to the date of this application.

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 6.

SIGNATURE _____

ITEM 7. QUALIFIERS

Please indicate all persons or entities in your enterprise that correspond to the sub-items listed below. If any of sub-items A to E do not apply, please indicate N/A (for “Not applicable”) directly on this form.

NOTE 1: A PERSONAL HISTORY DISCLOSURE FORM (FORM LA 2) MUST BE COMPLETED BY EVERY PERSON NOTED IN SUB-ITEMS A TO C.

NOTE 2: A PERSONAL HISTORY DISCLOSURE FORM (FORM LA 2) MUST BE COMPLETED BY EVERY PERSON NOTED IN SUB-ITEM D.

NOTE 3: A PERSONAL HISTORY DISCLOSURE FORM OR BUSINESS HISTORY DISCLOSURE FORM (FORM LA 3) MUST IN ADDITION BE COMPLETED BY EVERY PERSON LISTED IN SUB-ITEM E IF SUCH PERSON DOES NOT FALL INTO SUB-ITEMS A TO D.

NOTE 4: THE BOARD MAY, AT ITS DISCRETION, ORDER ADDITIONAL PERSONS ASSOCIATED WITH THE ENTERPRISE TO FILE THE APPROPRIATE DISCLOSURE FORM IF IT APPEARS THAT SUCH PERSON SHOULD BE QUALIFIED IN ORDER TO CARRY INTO EFFECT THE PURPOSES OF THE EASTERN CAPE GAMBLING AND BETTING ACT AND THE REGULATIONS THERETO.

NOTE 5: IT IS NOT NECESSARY FOR PERSONS REFERRED TO IN NOTE 2 WHO ALSO FALL INTO NOTE 3 TO COMPLETE TWO SETS OF FORMS.

NOTE 6: WHERE APPLICATIONS FOR REGISTRATION OF KEY PERSONS OR GAMBLING EMPLOYEES ACCOMPANY THIS APPLICATION-

- (A) PERSONS SET OUT IN SUB-ITEMS A TO C SHALL APPLY FOR REGISTRATION AS KEY PERSONS.**
- (B) PERSONS SET OUT IN SUB-ITEM D SHALL APPLY FOR REGISTRATION AS GAMBLING EMPLOYEES.**
- (C) IT SHALL NOT BE NECESSARY FOR PERSONS REGISTERED AS KEY PERSONS TO SIMULTANEOUSLY BE REGISTERED AS GAMBLING EMPLOYEES.**
- (D) AN APPLICANT FOR REGISTRATION AS A KEY PERSON OF GAMBLING EMPLOYEE SHALL ONLY NEED TO FILL IN ONE PERSONAL HISTORY APPLICATION FORM, I.E. THAT ACCOMPANYING HIS OR HER APPLICATION FOR REGISTRATION AS A KEY PERSON OR GAMBLING EMPLOYEE.**

SIGNATURE_____

NOTE 7: WHERE APPLICATIONS FOR REGISTRATION AS KEY PERSONS OR GAMBLING EMPLOYEES BY PERSONS REFERRED TO IN SUB-ITEMS A TO D DO NOT ACCOMPANY THIS APPLICATION, EACH APPLICANT FOR REGISTRATION AS A KEY PERSON OR GAMBLING EMPLOYEE SHALL SUBMIT A SECOND PERSONAL HISTORY DISCLOSURE FORM WITH HIS OR HER APPLICATION FOR SUCH REGISTRATION.

- A. All officers of the enterprise.
- B. All directors or trustees of the enterprise.
- C. In the case of a Close Corporation, all members of such Close Corporation.
- D. All employees of the enterprise who will accept bets from members of the public.
- E. All beneficial owners of 5% or more of the outstanding voting and non-voting securities of the enterprise, whether such owners are themselves legal or natural persons.

NOTE 8: IF AN ENTERPRISE IS LISTED AS AN OWNER IN SUB-ITEM E ABOVE, THE ENTERPRISE MUST COMPLETE A SEPARATE BUSINESS ENTITY DISCLOSURE FORM

SIGNATURE_____

For every person or entity noted in SUB-ITEMS 7A to C and 7E above, please provide the information requested in the following form:

NAME	DATE OF BIRTH	HOME ADDRESS	TITLE, POSITION OR ASSOCIATION WITH THE ENTERPRISE	% OF OWNERSHIP (**)

** Include number of share held and class of stock, if applicable.

Should you require additional space, attach a separate sheet in the same tabular format and label it SUB-ITEM 7A, B, etc.

SIGNATURE_____

ITEM 8. OUTLINE OF OWNERSHIP

If item 7 notes any enterprise as holding any stock, holding a partnership interest or holding any other ownership interest in the applicant, prepare a flowchart which illustrates the fully diluted ownership of the applicant as an attachment labelled ITEM 8. List all parent, holding or intermediary companies so that the flowchart reflects the stock, partnership or ownership interest as being held by a natural person(s) and not another enterprise(s). If the ultimate parent company is publicly traded and no natural person controls more than 5% of the publicly traded stock, indicate this fact in a footnote to the flowchart.

ITEM 9. FORMER OFFICERS AND DIRECTORS

Furnish the information indicated below for each person not listed in response to item 7, who has held position as an officer/director or trustee of the enterprise or in the case of a close corporation, a member of such close corporation, over the last ten (10) years:

NAME	DATE OF BIRTH	LAST KNOWN ADDRESS	POSITION AND DATES HELD	REASON FOR LEAVING

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 9.

SIGNATURE_____

ITEM 10. REMUNERATION OF OFFICERS, DIRECTORS AND PARTNERS

List the total annual remuneration received during the last calendar year, and the amount to be received during the calendar year subsequent thereto, by each director, trustee, officer, partner or in the case of a close corporation, a member of the enterprise, whether such remuneration is in the form of salary, wages commissions, fees, stock options, bonuses or otherwise.

NAME	POSITION HELD WITH THE ENTERPRISE	AMOUNT OF REMUNERATION

Should you require additional space, attach a separate sheet in the same tabular form and label it ITEM 10.

SIGNATURE_____

ITEM 11. REMUNERATION OVER R150 000

Furnish the information indicated below for each person, other than those listed in response to item 7, who currently receives or who can be reasonably expected to receive from the enterprise, within one calendar year from the date of this form, remuneration as described in item 10 exceeding R150 000 per annum.

NAME	DATE OF BIRTH	HOME ADDRESS	POSITION AND PERIOD OF TIME WITH THE ENTERPRISE	AMOUNT OF REMUNERATION

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 11.

SIGNATURE_____

ITEM 12. BONUSES, PROFIT SHARING, RETIREMENT, DEFERRED REMUNERATION AND SIMILAR PLANS

On an attachment page labelled ITEM 12, describe all existing bonuses, profit sharing, pension, retirement, deferred remuneration and similar plans, or those to be created by the enterprise. The description must include, but not be limited to:

- A. Title of name of the plan.
- B. Identity and address of the trustee of the plan or the person administering such plan.
- C. Material features of the plan.
- D. Methods of financing the plan.
- E. Identity of each class of person who is participating or will participate in the plan.
- F. Approximate number of persons in each class.
- G. Amounts distributed under the plan to each class of person during the last fiscal year, if the plan was in effect during that period of time.

ITEM 13. INTEREST OF PARTNERS (PARTNERSHIP)

Describe the interest held by each partner in the partnership, whether general or limited:

- A. Amount of initial investment, whether in the form of cash, negotiable instruments, property or otherwise:

- B. Amount of any additional contributions made to the partnership:

- C. Amount and nature of any anticipate future investments:

- D. Degree of control of each partner over the activities of the partnership:

- E. Percentage of ownership of each partner:

SIGNATURE_____

F. Method of distributing profits of each partner:

ITEM 14(i). SECURITIES OPTIONS* (CORPORATE ENTITIES)

Describe in detail any options existing or to be created in respect of securities issued by the corporation. The description must include, but is not limited to, the title and amount of securities subject to option, the year or years during which the options were or will be granted, the conditions under which the options were or will be granted, the consideration for granting the option and the year or years, the terms under which option holders became, or will become, entitled to exercise the options, and when such options expire.

* *For the purposes of this application, "option" means right, warrant or option to subscribe to or purchase any securities issued by the corporate entity.*

ITEM 14(ii)

Identify all persons holding the options described in item 14(i) and include the market value of the options at the time of issue.

SIGNATURE_____

ITEM 15. DESCRIPTION OF LONG-TERM DEBT

Describe the nature and terms and conditions of all outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness issued or executed (including loans made by shareholders), or to be issued or executed by the enterprise, which mature more than one year from the date of issue or which, according to their terms, are renewable for a period of more than one (1) year from the date of issue.

Should you require additional space, attach a separate sheet in the same form and label it ITEM 16.

ITEM 16. HOLDERS OF LONG-TERM DEBT

Furnish the information indicated in the table below for each person or entity holding any outstanding bonds, loans, mortgages, trust deeds, notes debentures or other forms of indebtedness executed or issued by the enterprise, which mature more than one (1) year from the date of issue or which, according to their terms, are renewable for a period of more than one (1) year from the date of issue.

NAME AND ADDRESS	DATE OF BIRTH	TYPE AND CLASS OF DEBT INSTRUMENT HELD	AMOUNT IN RANDS OF DEBT HELD (Both original amount and current balance)

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 16.

SIGNATURE _____

ITEM 17. OTHER INDEBTEDNESS AND SECURITY DEVICES

Describe the nature, type and terms and conditions of all outstanding loans, mortgages, trust deeds, pledges, lines of credit, or other evidence of indebtedness or security devices utilised by the enterprise, other than those described in response to items 15 and 16.

ITEM 18. HOLDERS OF INDEBTEDNESS

Furnish the information indicated in the table below in respect of each holder of any outstanding loan, mortgage, trust deed, pledge or other evidence of indebtedness or security device described in response to Item 17.

NAME AND ADDRESS	DATE OF BIRTH	TYPE OF DEBT INSTRUMENT HELD	AMOUNT IN RANDES OF DEBT HELD (Both original amount and current balance)

Should you required additional space, attach a separate sheet in the same tabular format and label it ITEM 18.

SIGNATURE_____

ITEM 19. FINANCIAL INSTITUTIONS

Furnish the information indicated in the table below in respect of each bank, savings and loan association or other financial institution, whether domestic or foreign, in which the enterprise has or has had an account over the last ten (10) years, regardless of whether such account was held in the name of the enterprise or a nominee of the enterprise or was otherwise under the direct or indirect control of the enterprise.

NAME AND ADDRESS	TYPE OF ACCOUNT(S)	ACCOUNT NUMBER	PERIOD OF TIME ACCOUNT HELD (FROM/TO)

Should you require additional space, attach a separate sheet in the same tabular form and label it ITEM 19.

ITEM 20. CONTRACTS AND SUPPLIERS

Furnish the information indicated in the table below in respect of all persons with whom the enterprise has contracts or agreements valued at R50 000 or more, or from whom the enterprise has received R50 000 or more in goods or services in the past six (6) months.

Employment contracts need only be listed if, according to their terms, they exceed one year's duration.

NAME	ADDRESS	NATURE OF CONTRACT OR GOODS OR SERVICES SUPPLIED

Should you require additional space, attach a separate sheet in the same tabular form and label it ITEM 20.

SIGNATURE _____

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ITEM 21. STOCK HELD BY ENTERPRISE

Furnish the information indicated in the table below in respect of each company in which the enterprise holds stock:

NAME AND ADDRESS OF COMPANY	TYPE OF STOCK HELD	PURCHASE PRICE PER SHARE	NUMBER OF SHARES HELD	PERCENTAGE OF OWNERSHIP EXCEEDING 5%

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 21.

ITEM 22. INSIDER TRANSACTION (CORPORATE ENTITY)

Furnish the information indicated in the table below for each change, within the last five (5) years preceding this application, in the beneficial ownership of the equity securities of the corporate entity on the part of any person who is indirectly or directly a beneficial owner of more than 5% of any class of equity security of the corporation, or who is, or was, a director or an official of the corporation within that period. [Include changes resulting from (a) a gift, (b) a purchase, (c) a sale, (d) the exercise of an option to purchase, (e) exercise of an option to sell, or (f) another transaction.]

DATE OF TRANSACTION	NATURE OF TRANSACTION	PARTIES TO TRANSACTION (INCLUDE POSITIONS)	NUMBER OF SECURITIES INVOLVED

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 22.

SIGNATURE _____

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(ecgbb/mssreg)

ITEM 23. CRIMINAL HISTORY

The next question requests information about any offences the enterprise may have committed or charges against it. In answering this question, carefully study the definitions and instructions which follow.

DEFINITIONS: For the purposes of this question:

- (a) "Charge" includes any indictment, complaint, information, summons, or other process for the commission of any "offence".
- (b) "Offence" includes all felonies, crimes, disorderly persons' offences, and petty offenses.

INSTRUCTIONS: 1. Answer "yes" and provide all information to the best of your ability EVEN if the charges or offences happened a long time ago.

- (a) The enterprise did not commit the offence with which it was charged
- (b) The charge was dismissed;
- (c) The enterprise was not convicted, or
- (d) The charges or offences happened a long time ago.

2. Answer "no" IF the records relating to the charges have been expunged

3. Attach a copy of the expungement order to this application, labelled ITE

"Has the enterprise, its owners, officers, directors or any of its subsidiaries ever been indicted, charged with or convicted of a crime, disorderly person's offence or been a party or named as an indicted co-conspirator in any criminal proceeding in any other jurisdiction?"

~~No~~ Yes

~~No~~ Yes

SIGNATURE _____

If yes, complete the table below:

NAME OF CASE AND CASE NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	O (ACQUIT DISM

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 23.

SIGNATURE _____

ITEM 24. TRADE REGULATIONS AND SECURITIES JUDGEMENTS

Has the enterprise ever had a judgement, consent, decree or consent order pertaining to a violation or alleged violation of trade regulations or securities laws, or similar laws of any country, entered against it?

~~No~~ Yes

~~Yes~~ No

If yes, provide the information in the following tabular form:

NAME AND CASE AND DOCKET NUMBER	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGEMENT, DECREE OR ORDER	DATE ENTERED

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 24.

ITEM 25. INSOLVENCY PROCEEDINGS AND APPOINTED RECEIVER, AGENT OR TRUSTEE

A. Has the enterprise or its parent company or any affiliated company had any petition under any provision of any Bankruptcy Act or under any state insolvency law filed by or against it in the last ten (10) years?

~~No~~ Yes

~~Yes~~ No

IF YES, PROVIDE DETAILS:

SIGNATURE _____

B. Has the enterprise or its parent company or any affiliated company sought relief under any provision of any Bankruptcy Act or any state insolvency law in the last ten (10) years?

~~Yes~~

~~No~~

IF YES, PROVIDE DETAILS:

C. Has any receiver, fiscal agent, trustee, recognition trustee or similar officer been appointed, in the last ten (10) years, by a court for the business or property of the enterprise or its parent, holding affiliate or subsidiary companies?

~~Yes~~

~~No~~

IF YES, COMPLETE THE TABLE BELOW:

NAME OF PERSON APPOINTED	DATE APPOINTED	COURT	REASON

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 25C.

SIGNATURE _____

ITEM 26. EXISTING LITIGATION

On an attachment page labelled ITEM 26, describe all existing civil litigation at equity and law to which the enterprise or any subsidiary is currently a party, whether in this Province or in another jurisdiction. Do not include any case for monetary damages in litigation in which the damages may not be expected to exceed R100 000. This description must include the title and case number of the litigation, the name and location of the court where it is pending, the identity of all parties to the litigation, and the general nature of all claims being made.

ITEM 27. LICENCES

A. In the last ten (10) years, has the enterprise ever had any licence or certificate issued by a government agency in the Republic of South Africa or any other jurisdiction, denied, suspended or revoked?

~~Yes~~

~~No~~

IF YES, COMPLETE THE TABLE BELOW:

TYPE OF LICENCE OR CERTIFICATE	NAME AND LOCATION OF GOVERNMENT AGENCY	ACTION TAKEN	DATE	REASON

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 27A.

B. Has the enterprise ever applied, in any jurisdiction, for a licence, permit or other authorisation to participate in lawful gambling operations (including casino gambling, horse racing, dog racing, pari-mutuel operation, lottery, sports betting etc.).

~~Yes~~

~~No~~

SIGNATURE _____

If the response to Item 27B is yes, complete the table below:

NAME AND ADDRESS OF LICENSING AGENCY	DATE OF APPLICATION	OUTCOME (GRANTED, DENIED, PENDING)	TYPE OF GAMBLING ACTIVITY	IF ISSUED, GIVE APPROPRIATE NUMBER AND EXPIRY DATE

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 27B.

ITEM 28. CONTRIBUTION AND DISBURSEMENTS OF ENTERPRISE

A. In the last ten (10) years, has the enterprise, any director, officer, partner, or employee or any third party acting for or on behalf of the enterprise offered any bribes or kickbacks to any employee, company or organisation to obtain favourable treatment?

~~No~~ ~~Yes~~

~~No~~ ~~Yes~~

IF YES, PROVIDE DETAILS:

B. In the last ten (10) years, has the enterprise, any director, officer, partner, or employee or any party acting for or on behalf of the enterprise offered any bribes or kickbacks to any government official, either domestic or foreign, to obtain favourable treatment?

~~No~~ ~~Yes~~

~~No~~ ~~Yes~~

SIGNATURE _____

IF YES, PROVIDE DETAILS:

C. In the last ten (10) years, have the enterprise funds been donated or loaned for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?

~~No~~ Yes

~~Yes~~ No

IF YES, PROVIDE DETAILS:

D. In the last ten (10) years, has the enterprise property been donated or loaned for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?

~~No~~ Yes

~~Yes~~ No

IF YES, PROVIDE DETAILS:

E. In the last ten (10) years, has the enterprise made or granted any loans, donations or other disbursements to directors, officers, partners, or employees for the purpose of reimbursing such individuals for political contributions, either foreign or domestic?

~~No~~ Yes

~~Yes~~ No

SIGNATURE _____

IF YES, PROVIDE DETAILS:

F. In the last ten (10) years, has the enterprise maintained any bank account or any account in the name of a nominee for the corporation?

Yes

No

IF YES, PROVIDE DETAILS:

G. In the last ten (10) years, has the enterprise maintained any numbered account or any account in the name of the enterprise?

Yes

No

IF YES, PROVIDE DETAILS:

H. List the names and addresses of any present or former directors, officers, partners, or employees or third parties who would have knowledge or information concerning the questions affirmatively answered under F and G above.

Yes

No

SIGNATURE _____

IF YES, PROVIDE DETAILS:

ITEM 29. FINANCIAL STATEMENTS

- A. Attach, as an appendix to this form, copies of all audited financial statements prepared in the last five (5) years with regard to the enterprise and label them ITEM 29A. Include any holding company exception taken to such statements, and management’s response thereto.
- B. If the enterprise does not normally have its financial statements audited, attach as an appendix to this form all unaudited financial statements prepared in the last five (5) years with regard to the enterprise. (If the enterprise has neither audited nor unaudited financial statements prepared, please note this below this paragraph. Label it ITEM 29B.)

ITEM 30. ANNUAL REPORTS

Attach, as an appendix to this form, a copy of all annual reports of the enterprise which have been submitted to shareholders, partners or other persons over the last five (5) years.

ITEM 31. QUARTERLY REPORTS

Submit, as an appendix to this application, a copy of all quarterly financial statements prepared by or for the enterprise, if any, since the last annual report noted in Item 30.

ITEM 32. INTERIM REPORTS

Submit, as an appendix to this form, a copy of all reports prepared following the occurrence of any of the following events: Change in control of the enterprise, acquisition or disposal of assets, bankruptcy or receivership proceedings, changes in the enterprise’s independent auditors, or other material events.

ITEM 33. REPORTS OF ACCOUNTANTS

Submit, as an appendix to this form, a copy of all reports and correspondence, other than those previously included in this application, submitted in the last five (5) years by independent auditors for the enterprise, which pertain to the issue of financial statements, managerial advisory services, or internal control recommendations.

ITEM 34. ORGANISATIONAL CHART

Provide as an appendix hereto, an organisational chart of the enterprise which includes position descriptions and the names of persons holding such positions.

SIGNATURE _____

ITEM 35. TAX RETURNS

Provide, as an appendix to this form, a copy of all tax returns for the last five (5) years. Be sure to include all schedules and attachments for these returns.

ITEM 36. AFFIDAVITS AND SIGNATURES

Pursuant to the regulations of the Board, this form must be sworn to or affirmed, signed and dated before a person legally competent to take an oath or affirmation, who must himself or herself date the signature of the deposer and indicate the basis of his or her authority to take oaths and affirmations.

Each of the following persons must complete an affidavit attesting to the truth of the information in this form:

- A. If the enterprise is a company, the president or any officer so authorised to affirm.
- B. If the enterprise is a partnership, each of the partners, and if it is a limited partnership, by each of the general partners only.
- C. If the enterprise is any other business form, organisation or association, the president or any officer so authorised to affirm.
- D. If the enterprise is a sole proprietorship, the natural person who is the proprietor.

Each affidavit required must be identical to the model which appears on the next page of this form.

SIGNATURE _____

AFFIDAVIT

PROVINCE/STATE OF _____

COUNTRY OF _____

I, _____, hereby

acknowledge that I am aware that the Board may deny a registration to any applicant that supplies information to the Board which is untrue or misleading as to a material fact pertaining to the qualification criteria.

Further I, _____, hereby
(NAME)

swear (or affirm) that the foregoing statements made by me on behalf of

_____ are true.
(NAME OF ENTERPRISE)

I am aware that if any of the foregoing statements made by me are wilfully false, I will be subject to the penalty attendant upon perjury.

DATE

SIGNATURE

(TYPE, STAMP OR PRINT NAME)

TITLE OR POSITION

Subscribed and sworn to before me this _____ day of _____, 20____

NOTARY

Seal of Authority of Notary

SIGNATURE _____

ITEM 37. RELEASE AUTHORISATION - NOTICE

Each enterprise must have the attached “Release Authorisation” properly signed, dated and notarised.

The attached “Notice” must also be acknowledged by a proper signature and date.

Each document must be signed by the following person.

- A. If the enterprise is a company, the president or any officer authorised to execute such a document and bind the company.
- B. If the enterprise is a partnership, a partner.
- C. If the enterprise is a limited partnership, a general partner.
- D. If the enterprise is any other business form, organisation or association, the president or any officer authorised to execute such a document and bind the enterprise.
- E. If the enterprise is a sole proprietorship, the natural person who is the proprietor.

A copy of the resolution by the company or close corporation authorising the person referred to above to sign on its behalf must be annexed hereto.

SIGNATURE_____

RELEASE AUTHORISATION

To All Courts, Probation Departments, Selective Service Boards, Employers, Education Institutions, Banks, Financial and other Such Institutions, and all Government Agencies - State, Provincial and Local, without exception, both foreign and domestic.

On behalf of _____

I, _____, have

authorised the Eastern Cape Gambling and Betting Board to conduct a full investigation into the background of the said enterprise.

Therefore, you are hereby authorised to release any and all information pertaining to that enterprise, either documentary or otherwise, as requested by any employee or agent of the Eastern Cape Gambling and Betting Board, provided that he or she certifies to you that enterprise has an application pending before the Eastern Cape Gambling and Betting Board or that that enterprise is currently a licence holder or registrant required to be qualified under a provision of the Gambling and Betting Act, 1997 (Act No. 5 of 1997)(Eastern Cape), or has an application pending before the Eastern Cape Gambling and Betting Board.

This authorisation supersedes or countermands any prior request or authorisation to the contrary.

A certified photostat copy of this authorisation will be considered as to be effective and valid as the original.

DATE

SIGNATURE

Subscribed and sworn to before me this _____ day of _____, 20_____

NOTARY PUBLIC

SIGNATURE_____

NOTICE

1. Information supplied to the Board or otherwise obtained by it is confidential and may not be revealed, except in the course of administrating the Gambling and Betting Act, 1997 (Act No. 5 of 1997) (Eastern Cape) or upon the lawful order of a court of competent jurisdiction. Nevertheless, an applicant or a licence holder or registrant exempts the Eastern Cape Gambling and Betting Board and its instrumentality and agents from liability for any damages resulting from any disclosure or publication in any manner.

2. An applicant for, or holder of, a licence or registration under the Gambling and Betting Act, 1997 (Act No. 5 of 1997)(Eastern Cape), is subject to inspections, searches and seizures as authorised by the Act and by the Eastern Cape Gambling and Betting Regulations. More specifically, section 4(1) of the Act empowers the Board to gather information from any source or person regarding the suitability of the applicant to hold a licence or be registered and the suitability of the premises in respect of which the application has been made.

3. In terms of Regulation 13 of the Eastern Cape Gambling and Betting Regulations, 1998, any person who submits an application to the board for registration shall be liable for and pay to the board all expenses incurred by the board in investigating the applicant :
 Provided that in the case of employee registrations, the employer shall pay the investigating expenditure but the employee will be liable to the employer for one half of the expenses should he leave the employ of the employer within six (6) months.

Receipt of notice acknowledged on behalf of:

_____ (NAME OF ENTERPRISE)

 DATE

BY: _____
 (TYPE OR PRINT NAME)

 SIGNATURE

 (TITLE OR POSITION HELD)

SIGNATURE_____

ATTACHMENT PAGE

SIGNATURE_____